

# 宏利盈進基金 SPC

## (「本公司」)

(一家根據開曼群島法律註冊成立的有限責任  
豁免獨立資產組合公司)

有關一般更新的

本公司日期為 2017 年 9 月的售股章程  
(按其不時修訂或補充)

的

## 第九份補充

(統稱「售股章程」)

2019 年 9 月

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**重要提示:**本補充應與售股章程及相關產品資料概要(共同構成本公司對於其獨立資產組合的銷售文件)一併閱讀。除非本補充另有訂明,否則本補充內所用詞語及字句具有在售股章程中獲賦予者相同的涵義。閣下如對本補充及/或售股章程及/或有關產品資料概要的內容有任何疑問,應尋求獨立專業的財務意見。

本公司各董事就售股章程及本補充所載資料的準確性承擔全部責任,並且在作出一切合理查詢後確認,就其深知及確信,並無遺漏會使任何陳述產生誤導的任何其他事實。

除非下文另有訂明,否則本補充所述修訂均即時生效,且除非本補充另有修訂,否則售股章程將維持十足效力及作用。

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### 一般更新

1.1 將售股章程第 9.15 節(個人資料)首四段全部刪除,並以下列文字取代之:

#### 「開曼群島資料保障

開曼群島政府於 2017 年 5 月 18 日制訂開曼群島 2017 年資料保障法(「資料保障法」),預期將於 2019 年 9 月 30 日生效。資料保障法根據國際接納的資料私隱原則為本公司引進法律規定。

本公司已編製一份文件，概述本公司的資料保障責任，以及投資者(及與投資者有關連的個別人士)在資料保障法下的資料保障權利(「**私隱聲明**」)。

私隱聲明提供有關本公司根據資料保障法使用個人資料的資訊，並於 [www.manulife.com.hk](http://www.manulife.com.hk)<sup>◊</sup>「私隱政策」網頁內的「宏利盈進基金 SPC 私隱聲明」可供查閱

有意投資者務請留意，一經向本公司作出投資及與本公司及其關聯公司及／或受委人的相關互動(包括填寫開戶表格及認購表格，並包括電子通訊及電話通話錄音(如適用))，或一經向本公司提供有關與該投資者有關連的個別人士(例如：董事、受託人、僱員、代表、股東、投資者、客戶、實益擁有人或代理人)的個人資料，該等個別人士將向本公司及其關聯公司及／或受委人(包括但不限於執行人及分執行人)提供某些個人資料，該等個人資料構成資料保障法涵義所指的個人資料。

本公司將就此項個人資料擔任資料控制者，而其關聯公司及／或受委人(例如執行人、分執行人、總顧問及分銷商、分銷商及副分銷商)可擔任資料處理者(或在某些情況下因其本身權利為資料控制者)。

投資者藉投資於本公司及／或繼續投資於本公司，即被視為承認彼等已細閱及明白私隱聲明，且私隱聲明就其有關於本公司的投資的資料保障權利及責任提供概要。開戶表格及認購表格載有有關陳述及保證。

監督資料保障法乃開曼群島專員辦事處的責任。本公司若違反資料保障法可致使專員採取執法行動(包括實施補救令、罰款或轉介刑事檢控)。

#### 香港資料保障

#### 1.2 茲就投資管理人董事作出以下一般更新：

戴嘉名 (Kenneth Dai) 將辭任投資管理人董事，由 2019 年 10 月 10 日起生效，而華柏堅 (Kenneth Rappold) 將獲委任為投資管理人董事，由 2019 年 10 月 10 日起生效。因此，茲將售股章程第一部份第 5.5 節所載 Kenneth Dai 的履歷全部刪除，由 2019 年 10 月 10 日起生效，而以下有關華柏堅的履歷則加插於售股章程第一部份第 5.5 節，由 2019 年 10 月 10 日起生效：

「**華柏堅**是宏利亞洲業務部的首席財務官。華先生領導宏利亞洲業務部的所有財務管理工作，並為亞洲領導層團隊成員之一。

在 2018 年加盟宏利前，華先生曾任職一家英國跨國保險公司，於金融服務業擁有逾 20 年經驗，其中於亞洲工作長達 12 年以上。他於該公司最後擔任亞洲區首席財務官，領導區內各地的策略性財務管理工作。

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<sup>◊</sup>此網站未經證監會審閱。

華先生亦曾於另一間亞洲具領導地位的銀行及保險公司出任高級管理層職位，包括該公司韓國首席財務官、該公司泰國首席財務官，以及該公司香港財務及會計規管部副總裁。他早年曾於美國及歐洲多間保險及會計機構任職。

華先生持有德克薩斯大學奧斯汀分校專業會計碩士學位，並為註冊會計師、CFA 特許金融分析師、FRM 金融風險管理師及美國壽險管理學會會士。」

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# Manulife Advanced Fund SPC ("Company")

(an exempted segregated portfolio company incorporated  
with limited liability under the laws of the Cayman Islands)

## NINTH SUPPLEMENT

to the

prospectus of the Company dated September 2017  
(as amended or supplemented from time to time)  
(altogether the "**Prospectus**")

relating to

General Updates

September 2019

\* \* \*

**IMPORTANT: This Supplement should be read in conjunction with the Prospectus and the relevant product key fact statement(s) which together form the offering documents of the Company vis-à-vis its Segregated Portfolio(s). Words and phrases used in this Supplement shall, unless otherwise provided herein, have the same meanings as are ascribed to them in the Prospectus. If you are in any doubt about the contents of this Supplement and/or the Prospectus and/or the relevant product key fact statement(s), you should seek independent professional financial advice.**

The Directors of the Company accept full responsibility for the accuracy of the information contained in the Prospectus and this Supplement and confirm, having made all reasonable enquiries that to the best of their knowledge and belief there are no other facts the omission of which would make any statement misleading.

Unless otherwise stated below, the amendments noted herein are effective immediately and unless otherwise amended herein, the Prospectus remains in full force and effect.

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### General Updates

- 1.1. The first four paragraphs of Section 9.15 (Personal Data) of the Prospectus shall be deleted in their entirety and replaced with the following wording:

#### "Cayman Islands Data Protection

The Cayman Islands Government enacted the Data Protection Law, 2017 (the "**DPL**") on 18 May 2017 and it is expected to be brought into force on 30 September 2019. The DPL introduces legal requirements for the Company based on internationally accepted principles of data privacy.

The Company has prepared a document outlining the Company's data protection obligations and the data protection rights of investors (and individuals connected with investors) under the DPL (the "**Privacy Notice**").

The Privacy Notice provides information on the Company's use of personal data under the DPL and is available under "Manulife Advanced Fund SPC Privacy Notice" on the "Privacy Policy" web page at [www.manulife.com.hk](http://www.manulife.com.hk)<sup>◇</sup>.

Prospective investors should note that, by virtue of making investments in the Company and the associated interactions with the Company and its affiliates and/or delegates (including completing the Account Opening Forms and Subscription Forms, and including the recording of electronic communications or phone calls where applicable), or by virtue of providing the Company with personal information on individuals connected with the investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents) such individuals will be providing the Company and its affiliates and/or delegates (including, without limitation, the Administrator and the Sub-Administrator) with certain personal information which constitutes personal data within the meaning of the DPL.

The Company shall act as a data controller in respect of this personal data and its affiliates and/or delegates, such as the Administrator, Sub-Administrator, the General Adviser and Distributor, distributors and sub-distributors, may act as data processors (or data controllers in their own right in some circumstances).

By investing in the Company and/or continuing to invest in the Company, investors shall be deemed to acknowledge that they have read in detail and understood the Privacy Notice and that the Privacy Notice provides an outline of their data protection rights and obligations as they relate to the investment in the Company. The Account Opening Forms and Subscription Forms contain relevant representations and warranties.

Oversight of the DPL is the responsibility of the Ombudsman's office of the Cayman Islands. Breach of the DPL by the Company could lead to enforcement action by the Ombudsman, including the imposition of remediation orders, monetary penalties or referral for criminal prosecution.

#### Hong Kong Data Protection

- 1.2. The following general update is hereby made with respect to the directors of the Investment Manager:

Kenneth Dai will resign as a director of the Investment Manager with effect from 10 October 2019 and Kenneth Rappold will be appointed as a director of the Investment Manager with effect from 10 October 2019. Accordingly, the biography of Kenneth Dai in Section 5.5 of Part I of the Prospectus shall be, with effect from 10 October 2019, deleted in its entirety and the following biography of Kenneth Rappold shall be inserted into Section 5.5 of Part I of the Prospectus with effect from 10 October 2019:

**“Kenneth Rappold** – Mr. Rappold is Chief Financial Officer of Manulife’s Asia Division. He provides leadership for all aspects of financial management for Manulife’s Asia Division and is a member of the Asia Executive Leadership Team.

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<sup>◇</sup> This website has not been reviewed by the SFC.

Mr. Rappold joined Manulife in 2018 from a major British multinational insurance company and brings more than 20 years of experience in the financial services industry, including 12+ years in Asia. Most recently he served as CFO, Asia, for such company, providing financial and strategic leadership to their businesses across the region.

Previously Mr. Rappold also held senior roles at another leading Asian insurance and financial services group, including CFO of its Korea and Thailand businesses, and Vice President of Finance and Accounting Compliance for the group in Hong Kong. His earlier career also included roles at various insurance and accounting organisations in both the US and Europe.

Mr. Rappold holds a Master's Degree in Professional Accounting from the University of Texas at Austin. He is also a CPA, CFA Charterholder, Certified FRM and a Fellow of the Life Management Institute.”

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