

Note 注意：

- Please refer to the current version of the Terms of Service being part of the Client Agreement of Manulife Investment Management (Hong Kong) Limited for detailed information on the fees and charges, dealing procedures, and disclosures of transaction related information. 請參閱宏利投資管理(香港)有限公司客戶協議所附列的最新服務條款，以了解有關收費、交易程序及披露交易相關資料的詳情。
- Please complete in English BLOCK LETTERS. For assistance, please call (852) 2108 1110 and select your preferred language before pressing (# - 0 - 1) to contact our Customer Service Officer. 請以英文正楷填寫本表格。如需協助，請致電：(852) 2108 1110，並選擇您喜歡的語言，然後再按 (# - 0 - 1) 聯絡客戶服務主任。
- Please return this completed form to Manulife Investment Management (Hong Kong) Limited, 23/F., Manulife Tower, One Bay East, 83 Hoi Bun Road, Kwun Tong, Kowloon, Hong Kong. 請將已填妥的表格交回宏利投資管理(香港)有限公司，香港九龍觀塘海濱道83號宏利大樓23樓。

This questionnaire is designed to assess the risk tolerance and attitude of typical investors and assist our clients to understand their general risk profiles for the purpose of investment suitability assessment. 本問卷旨在評估典型投資者的風險承受能力及態度，並協助客戶理解其一般風險取向以作投資適合性評估之用。

For a joint-name account, each subscription or switching transaction is processed based on the risk profile of the account holder placing the dealing instruction and both holders are bound by such a dealing instruction. 對於聯名賬戶，每次認購或轉換交易均根據發出交易指示的賬戶持有人的風險取向而進行處理，並且兩名持有人均受該交易指示約束。

	First Holder 第一持有人	Second Holder 第二持有人
Full Name 姓名		
HKID/Passport Number* 香港身份證/護照號碼*： * This number enables a holder's completed Risk Profile Questionnaire being applied to all Accounts held by the same holder. 此號碼使持有人完成的風險取向問卷將適用於相同持有人的所有賬戶。		
1. Which of the following best describes your current stage of life? 以下哪項最能說明您目前的人生狀況？	<input type="checkbox"/> (a) Young with little financial burden 年青，財政負擔不大 <input type="checkbox"/> (b) Young with some financial burden 年青，有若干財政負擔 <input type="checkbox"/> (c) Middle-aged with little financial burden 中年，財政負擔不大 <input type="checkbox"/> (d) Middle-aged with some financial burden 中年，有若干財政負擔 <input type="checkbox"/> (e) Retired or nearing retirement with little financial burden 已經或即將退休，財政負擔不大 <input type="checkbox"/> (f) Retired or nearing retirement with some financial burden 已經或即將退休，有若干財政負擔	<input type="checkbox"/> (a) Young with little financial burden 年青，財政負擔不大 <input type="checkbox"/> (b) Young with some financial burden 年青，有若干財政負擔 <input type="checkbox"/> (c) Middle-aged with little financial burden 中年，財政負擔不大 <input type="checkbox"/> (d) Middle-aged with some financial burden 中年，有若干財政負擔 <input type="checkbox"/> (e) Retired or nearing retirement with little financial burden 已經或即將退休，財政負擔不大 <input type="checkbox"/> (f) Retired or nearing retirement with some financial burden 已經或即將退休，有若干財政負擔
2. How many years of investment experience in financial markets (excluding mandatory pension scheme if any) do you have? 除強積金外，您擁有多少年投資於金融市場的經驗？	<input type="checkbox"/> (a) No experience 沒有經驗 <input type="checkbox"/> (b) Less than 1 year 1年以下 <input type="checkbox"/> (c) 1 year to less than 3 years 1年至3年以下 <input type="checkbox"/> (d) 3 years to less than 5 years 3年至5年以下 <input type="checkbox"/> (e) 5 years or above 5年或以上	<input type="checkbox"/> (a) No experience 沒有經驗 <input type="checkbox"/> (b) Less than 1 year 1年以下 <input type="checkbox"/> (c) 1 year to less than 3 years 1年至3年以下 <input type="checkbox"/> (d) 3 years to less than 5 years 3年至5年以下 <input type="checkbox"/> (e) 5 years or above 5年或以上
3. Which of the following investment products have you invested in during the past 3 years? (Tick one or more, if applicable. Your answer with the highest score is final) 您在過去三年曾投資以下哪些投資產品？(可選擇一項或多於一項，如適用。最高分數的選擇為最終答案。)	<input type="checkbox"/> (a) Principal-protected products/Investment-grade bonds 保本產品/投資級別債券 <input type="checkbox"/> (b) Foreign currencies/Gold 外幣/黃金 <input type="checkbox"/> (c) Balanced funds/Mixed allocation funds 均衡基金/混合配置基金 <input type="checkbox"/> (d) Stocks/ETFs/Equity funds 股票/交易所買賣基金(ETF)/股票基金 <input type="checkbox"/> (e) High yield bond funds/Hedge funds/Derivatives/Leveraged products 高收益債券基金/對沖基金/衍生工具/槓桿產品 <input type="checkbox"/> (f) None of the above 以上都不是	<input type="checkbox"/> (a) Principal-protected products/Investment-grade bonds 保本產品/投資級別債券 <input type="checkbox"/> (b) Foreign currencies/Gold 外幣/黃金 <input type="checkbox"/> (c) Balanced funds/Mixed allocation funds 均衡基金/混合配置基金 <input type="checkbox"/> (d) Stocks/ETFs/Equity funds 股票/交易所買賣基金(ETF)/股票基金 <input type="checkbox"/> (e) High yield bond funds/Hedge funds/Derivatives/Leveraged products 高收益債券基金/對沖基金/衍生工具/槓桿產品 <input type="checkbox"/> (f) None of the above 以上都不是

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<p>4. In general, what is the time period intended for your financial investment? 一般來說，您的金融投資年期將會是多久？</p>	<input type="checkbox"/> (a) Less than 1 year 1年以下 <input type="checkbox"/> (b) 1 year to less than 3 years 1年至3年以下 <input type="checkbox"/> (c) 3 years to less than 5 years 3年至5年以下 <input type="checkbox"/> (d) 5 years to less than 8 years 5年至8年以下 <input type="checkbox"/> (e) 8 years or above 8年或以上	<input type="checkbox"/> (a) Less than 1 year 1年以下 <input type="checkbox"/> (b) 1 year to less than 3 years 1年至3年以下 <input type="checkbox"/> (c) 3 years to less than 5 years 3年至5年以下 <input type="checkbox"/> (d) 5 years to less than 8 years 5年至8年以下 <input type="checkbox"/> (e) 8 years or above 8年或以上
<p>5. What is the price fluctuation on financial investment you can tolerate within one year? 您可以容忍一年內金融投資的價格波動程度如何？</p>	<input type="checkbox"/> (a) Around (+/-) 5% 大約 (+/-) 5% <input type="checkbox"/> (b) Around (+/-) 10% 大約 (+/-) 10% <input type="checkbox"/> (c) Around (+/-) 15% 大約 (+/-) 15% <input type="checkbox"/> (d) Around (+/-) 25% 大約 (+/-) 25% <input type="checkbox"/> (e) More than (+/-) 25% 超過 (+/-) 25%	<input type="checkbox"/> (a) Around (+/-) 5% 大約 (+/-) 5% <input type="checkbox"/> (b) Around (+/-) 10% 大約 (+/-) 10% <input type="checkbox"/> (c) Around (+/-) 15% 大約 (+/-) 15% <input type="checkbox"/> (d) Around (+/-) 25% 大約 (+/-) 25% <input type="checkbox"/> (e) More than (+/-) 25% 超過 (+/-) 25%
<p>6. Which of the following best describes your overall investment objective? 以下哪項最切合您的整體投資目標？</p>	<input type="checkbox"/> (a) Capital preservation – keep investment loss at a minimum with little concern on returns 保存資本—把投資損失控制在最低水平，不大重視回報 <input type="checkbox"/> (b) Income orientation – earn stable income or beat inflation 收益主導—賺取穩定或優於通脹的收益 <input type="checkbox"/> (c) Income-and-growth – achieve returns on the balance of modest income and capital appreciation 收益及增長—取得適度的收益和資本增值，在兩者之間達致平衡 <input type="checkbox"/> (d) Growth orientation – aim at returns with focus on capital appreciation 增長主導—旨在賺取回報，聚焦於資本增值 <input type="checkbox"/> (e) Aggressive growth – look for maximum returns possibly from high-risk financial investments 進取型增長—透過高風險的金融投資，盡量賺取最高的回報	<input type="checkbox"/> (a) Capital preservation – keep investment loss at a minimum with little concern on returns 保存資本—把投資損失控制在最低水平，不大重視回報 <input type="checkbox"/> (b) Income orientation – earn stable income or beat inflation 收益主導—賺取穩定或優於通脹的收益 <input type="checkbox"/> (c) Income-and-growth – achieve returns on the balance of modest income and capital appreciation 收益及增長—取得適度的收益和資本增值，在兩者之間達致平衡 <input type="checkbox"/> (d) Growth orientation – aim at returns with focus on capital appreciation 增長主導—旨在賺取回報，聚焦於資本增值 <input type="checkbox"/> (e) Aggressive growth – look for maximum returns possibly from high-risk financial investments 進取型增長—透過高風險的金融投資，盡量賺取最高的回報

Risk Profile 風險取向	Risk Profile Description 風險取向描述
1 = Low 低	Investors in this category prioritize investment products of low uncertainty on risk or incline to minimize investment loss. 這類投資者重視風險不確性偏低的投資產品或傾向盡量減低投資損失。
2 = Low to Medium 低至中	Investors in this category are willing to take modest risk so as to achieve returns better than bank deposits. 這類投資者願意承擔適量風險，以取得優於銀行存款的回報。
3 = Medium 中	Investors in this category are willing to accept commensurable price fluctuation and take a certain degree of risk so as to achieve returns in comparison with the major stock market indexes. 這類投資者願意接納相稱的價格波幅，並承擔若干程度的風險，以取得與主要股市指數相若的回報。
4 = Medium to High 中至高	Investors in this category are willing to accept relatively higher price fluctuation and take relatively higher risk so as to achieve returns better than the major stock market indexes. 這類投資者願意接納相對較高的價格波幅，並承擔相對較高的風險，以取得優於主要股市指數的回報。
5 = High 高	Investors in this category demonstrate a rather strong preference on high-risk, complex or leveraged products. 這類投資者對高風險、複雜或槓桿產品擁有甚明確的偏好。

This risk profile questionnaire and the results should not be regarded as investment advice on, an offer to sell, or a solicitation for an offer to purchase any investment products or services. Manulife and its associated companies accept no responsibility or liability as to the accuracy or completeness of the information provided in this questionnaire and the results. This questionnaire is not a comprehensive financial planning tool and is not a substitute for independent professional advice. 本問卷及測試結果僅供參考，並不構成投資意見，亦不得視為招攬買賣任何投資產品或服務。宏利及其相關公司對本問卷內容及結果的準確性及完整性概不作出任何保證。本問卷並非全面的理財策劃工具，不能取代獨立的專業意見。

Applicant(s)' Declarations and Signature(s) 申請人聲明及簽署

- I/We acknowledge receipt and agree to the terms and conditions as set out in the Client Agreement of Manulife Investment Management (Hong Kong) Limited and the Offering Documents (including Product Key Facts and the latest published financial reports) of the fund(s) selected by me/us in this application. 本人／吾等確認收妥並同意宏利投資管理(香港)有限公司客戶協議及本人／吾等在本申請表所選擇基金的發售文件(包括產品資料概要和最新公佈的財務報告)所列的條款及條件。
- I/We have read and understood the “Disclosures” in the Terms of Service being part of the Client Agreement of Manulife Investment Management (Hong Kong) Limited prior to my/our signing this completed application form. 在本人／吾等簽署這份已填妥的申請表之前，本人／吾等已閱讀及理解宏利投資管理(香港)有限公司客戶協議服務條款所附列的「披露」。
- I/We understand that the provision of information in this form is voluntary for the purpose of my/our current application. If I/We fail to provide the information, my/our current application will not be accepted. 本人／吾等明白於本表格上自願提供資料是為處理是次申請。倘本人／吾等未能提供有關資料，本人／吾等是次之申請將不獲處理。
- I/We acknowledge and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, is being collected by Manulife Investment Management (Hong Kong) Limited. I/We understand and agree that the information collected from me/us in this form, together with any subsequent alterations or supplements to it, can be used and/or transferred to any of the transferees in accordance with any of the uses and purposes (including in relation to direct marketing) as described in the Personal Information Collection Statement being part of the Client Agreement entered into between me/us and Manulife Investment Management (Hong Kong) Limited (as amended from time to time). 本人／吾等確認及同意從本人／吾等在本表格上收集的資料及日後之任何改動或補充，乃宏利投資管理(香港)有限公司所收集。本人／吾等理解及同意從本人／吾等在本表格上收集的資料及日後之任何改動或補充，可根據本人／吾等與宏利投資管理(香港)有限公司簽定的客戶協議(不時作出修訂)，其中在個人資料收集聲明內所描述的使用及目的(包括直接促銷)被使用及／或轉交予任何受讓人。
- I/We have a right to request access to and correction of my/our personal data by writing to the Privacy Officer, Manulife Investment Management (Hong Kong) Limited, 23/F., Manulife Tower, One Bay East, 83 Hoi Bun Road, Kwun Tong, Kowloon, Hong Kong. 本人／吾等可致函香港九龍觀塘海濱道 83 號宏利大樓 23 樓宏利投資管理(香港)有限公司個人資料主任，要求查閱及更改本人／吾等的個人資料。

First Holder 第一持有人	Second Holder 第二持有人
Signature 簽署 X S.V.	Signature 簽署 X S.V.
Full Name 全名 DD日/ MM月/ YYYY年	Full Name 全名 DD日/ MM月/ YYYY年